

IN THE UNITED STATES PATENT DESIGNATED OFFICE (DO/US)  
(National Phase of International App.: PCT/GB96/02416)

In re the  
application of: **Allan BALMAIN and  
Jingde ZHU**

International Application No.: **PCT/GB96/02416**

International Filing Date: **02 October 1996**

U.S. Serial No.: **Not yet assigned**

Filed: **Herewith**

For: **ANTITUMOUR VECTOR CONSTRUCTS  
AND METHODS**

Attorney Docket No.: **CCI-005US**

Assistant Commissioner for Patents  
BOX PCT  
Washington, D.C. 20231

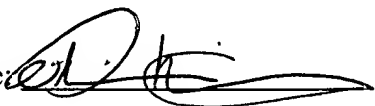
Certification Under 37 CFR 1.10

I hereby certify that the attached:

Transmittal Letter (2 sheets in duplicate); Preliminary Amendment (5 sheets);  
Declaration, Petition and Power of Attorney (unexecuted) (4 sheets); PCT Request  
(6 sheets); PCT International Published Application (WO 97/12970)  
(with International Search Report) (94 sheets); PCT/RO/105 (1 sheet); PCT/IB/301  
(3 sheets); PCT/IB/304 (1 sheet); PCT/IB/308 (2 sheets); PCT/IB/306 (1 sheet);  
PCT Demand (3 sheets); PCT International Preliminary Examination Report  
(7 sheets); Certificate of Express Mailing (1 sheet); check in the amount of \$1018.00  
representing filing fee based on large entity status; and return postcard are being  
deposited by me with the United States Postal Service "Express Mail Post Office to  
Addressee" service, Mailing Label No. **EL 008 487 513 US**, under 37 CFR 1.10 on  
the date indicated below and is addressed to the Assistant Commissioner for Patents,  
Box PCT, Washington, D.C. 20231.

Date: **02 April 1998**

Name: **William J. McKinney**

Signature: 

**DECLARATION, PETITION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION**

(Check one):

- ☒ Declaration Submitted with Initial Filing  
☐ Declaration Submitted after Initial Filing

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**ANTITUMOUR VECTOR CONSTRUCTS AND METHODS**

the specification of which (check one):

- ☐ is attached hereto.  
OR  
☒ was filed on 02 October 1996 as PCT International Application Number  
PCT/GB96/02416  
☐ and was amended by PCT Article 19 Amendment on \_\_\_\_\_  
(if applicable),  
☐ and was amended by PCT Article 34 Amendment on \_\_\_\_\_  
(if applicable).

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

## PRIORITY CLAIM

(Check one):

- ☐ no such applications have been filed.
- ☒ such applications have been filed as follows

**1) FOREIGN PRIORITY CLAIM:** I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (dd,mm,yyyy)	Priority Not Claimed	Certified Copy Attached	
				Yes	No
PCT/GB96/02416	US, GB, etc.	02 Oct 1996 (02.10.96)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9520079.6	GB	02 Oct 1995 (02.10.95)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9616685.5	GB	08 Aug 1996 (08.08.96)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

- ☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.

**2) PROVISIONAL PRIORITY CLAIM:** I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Provisional Application Number(s)	Filing Date (dd/mm/yyyy)

- ☐ Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

**3) U.S./PCT PRIORITY CLAIM:** I hereby claim the benefit under Title 35, United States Code, §120 of any United States application or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (dd/mm/yyyy)	Parent Patent Number (if applicable)

- ☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.